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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Application No.:	10/575,964	Examiner:	N/A
Filing Date:	April 14, 2006	Art Unit:	3624
First Inventor:	BALDISCHWEILER, M.	Customer No.:	23364
Attorney No.:	BALD3012/JEK/JJC	Confirm. No.:	5100
For:	METHOD FOR PROCESSING A CASHLESS PAYMENT TRANSACTION		

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

INTRODUCTORY COMMENTS

Pursuant to Rule 37 CFR. §§ 1.56, 1.97 and 1.98, this Information Disclosure Statement is submitted in the above-identified patent application. A listing of documents to be published on the face of any patent granted from this application is submitted on forms PTO/SB/08A and 08B. Any other documents or information submitted for consideration by the examiner are listed in this paper. A copy of each foreign patent or non-patent literature listed on forms PTO/SB/08A and 08B is submitted herewith.

Additional Information Not Listed on Form PTO-1449

International Search Report in corresponding PCT application PCT/EP2004/011423.

Concise Statement of Relevancy

(Non-English Language Documents Only)

The relevancy of each document is identified in the Search Report in accordance with international categories: "A" (document defining general state of the art which is not

considered to be of particular relevance, "Y" (inventive step or obviousness), and "X" (novelty).

For the non-English language documents not listed in the Search Report, their relevancy is described as follows.

DE 199 57 660 A1 discloses a method for charging the price of travel for the use of public transport. In the method, a passenger loads up a memory element provided on his fare card resembling a check card, using cash or credit card, at a machine connected to a head office.

DE 198 42 555 A1 discloses a method and apparatus for collecting an amount for authorized use of an area and/or service. At the onset of use, a value transponder containing an individual identification number and a certain debitable amount is connected with a read/write station of a terminal.

DE 299 02 057 U1 describes a portable payment transaction terminal which allow amounts of money to be debited to chip cards with cash card functionality.

WO 02/067211 describes the use of personal digital assistants (PDSs) which guarantee secure data transmission when effecting a data exchange between a chip card and a terminal.

DE 198 41 862 A1 discloses data exchange between terminal and chip cards in mobile phones.

#### Statement Regarding Translations

English language translations of the non-English language documents are not available to Applicants' attorney.

Application No.: 10/575,964

Art Unit: 3624

Statement of Timeliness

This Information Disclosure Statement is submitted prior to the mailing date of the first Office Action on the merits received by Applicant in the above-identified application.

Please charge any additional fees required or credit any overpayments in connection with this paper to Deposit Account No. 02-0200.

The Examiner is requested to acknowledge consideration of the information provided in this paper in accordance with prescribed procedures.

If any issues remain that may be resolved by a telephone or facsimile communication with the Applicant's Attorney, the Examiner is invited to contact the undersigned at the numbers shown below.

BACON & THOMAS, PLLC  
625 Slaters Lane, Fourth Floor  
Alexandria, Virginia 22314-1176  
Phone: (703) 683-0500  
Facsimile: (703) 683-1080

Date: May 8, 2007

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Justin J. Casell", written over a horizontal line.

JUSTIN J. CASSELL  
Attorney for Applicant  
Registration No. 46,205



PTO/SB/08A (09-06)

Approved for use through 03/31/2007. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	1/575,964
Filing Date	April 14, 2006
First Named Inventor	Baldischweiler, M.
Art Unit	3624
Examiner Name	N/A
Attorney Docket Number	BALD3012/JEK/JJC

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**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 6,013,949	01/11/2000	Tuttle	
		US- 6,144,301	11/07/2000	Frieden	
		US- 2002/0186133	12/12/2002	Loof	
		US-			
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
		GB2329301	03/17/1999	Petty		
		DE10136253	07/25/2001	Lang		
		DE19957660	11/30/1999	Albrecht		
		DE19842555	09/17/1998	Michalk		
		DE29902057	02/06/1999	Hammedinger		
		DE19841864	09/14/1998	Wieland		

Examiner  
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Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

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Sheet **2** of **2****U. S. PATENT DOCUMENTS**

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		Number-Kind Code <sup>2</sup> (if known)			
		US-			
		US-			
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		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
		DE19841862	09/14/1998	Wieland		
		EP1204081	05/08/2002	Takatori		
		WO02067211	08/29/2002	Heilingbrunner		
		WO0137467	05/25/2001	Woodward		

Examiner  
SignatureDate  
Considered

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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